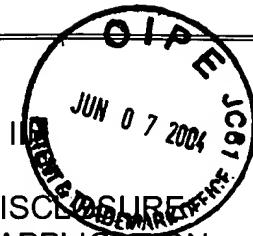


FORM PTO-1449

SUPPLEMENTAL INFORMATION DISCLOSURE

CITATION IN AN APPLICATION



Docket No. (optional)

6646-101D7

Applicant

Ronald A. Katz

Filing Date

June 22, 2001

Application No.

09/888,286

Group Art Unit

3722

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,594,004	07/20/1971	Barr	-----	-----	-----
	3,998,465	12/21/1976	Mascola	-----	-----	-----
	4,121,052	10/17/1978	Richard	-----	-----	-----
	4,586,707	05/06/1986	McNeight et al.	-----	-----	-----
	4,781,377	11/01/1988	McVean et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	0 120 322	02/27/1984	EPC	-----	-----	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)	Group Art Unit:	3722	
)			
Ronald A. KATZ)	Examiner:	M. Carter	
)			
Serial No.:	09/888,286)	Attorney Docket No.:	6646-101D7
)			
Filed:	June 22, 2001)	Customer No.:	50-1636
)			
For:	TELEPHONIC INTERFACE)		
	LOTTERY SYSTEM)		
)			

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(c)

Sir:

Applicant is submitting Forms PTO-1449 (SET I, SUPPLEMENTAL I-XV) citing references that are of record in Applicant's related cases (e.g. see face pages of U.S. Patent No. 5,835,575 and U.S. Patent No. 6,044,135). The references cited relate largely to a various different system configuration rather than card configurations. As the claims in the subject application describe cards for use with interface systems, Applicant is bringing these references to the attention of the Examiner to establish a complete record. Applicant requests the Examiner to determine independently determine if any of the references cited here are possibly "material" to the claims pending in this application.

Pursuant to 37 CFR 1.52(e), Applicant encloses six (6) compact discs. Three are original and labeled "Copy 1" and three are identical and labeled "Copy 2." Pursuant to 37 CFR

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this document (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

June 3, 2004

Date


Clarissa Lo

Clarissa Lo

0988882886 501636 00000007 06/09/2004 MBLANCO 001 FC:1006 100.00 DA

Application No.: 09/888,286
Information Disclosure Statement dated: June 3, 2004

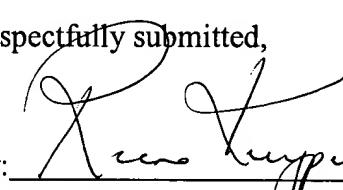
1.52(e)(3), Applicant encloses an information data sheet of the references cited on Forms PTO 1449 (SET I, SUPPLEMENTAL I-XV) as well as scanned copies (in PDF format) of all the references listed.

Applicant requests that under 37 C.F.R. 1.97(c), the accompanying Forms PTO-1449 that list the references should be placed in this file to make it available for future access by others. This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §§ 1.97(c) and 1.98. The Examiner is hereby authorized to charge the requisite fees (mentioned in the accompanying transmittal) and any deficiencies or any additional fee required by this paper to A2D L.P.'s Deposit Account No. **50-1636**.

Dated: June 3, 2004
9220 Sunset Blvd., Suite 303
Los Angeles, CA 90069
(310) 247-2860

Respectfully submitted,

By:


Reena Kuyper
Registration No. 33,830



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PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/888,286
		Filing Date	June 22, 2001
		First Named Inventor	Ronald A. KATZ
		Art Unit	3722
		Examiner Name	Monica S. Carter
Total Number of Pages in This Submission		Attorney Docket Number	6646-101D7

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input checked="" type="checkbox"/> CD, Number of CD(s) <u>6</u>	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

Form PTO-1449 Set I, Supplemental I - XV (91 pgs); (Information data sheet pursuant to 37 CFR 1.52(e)(3) for Disc 1 of 3 (11 pgs); Information data sheet pursuant to 37 CFR 1.52(e)(3) for Disc 2 of 3 (16 pgs); Information data sheet pursuant to 37 CFR 1.52(e)(3) for Disc 3 of 3 (9 pgs); Return postcard.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or individual name	Reena Kuyper, Registration No. 33,830
Signature	
Date	June 3, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Clarissa Lo
Signature	
	Date <u>June 3, 2004</u>

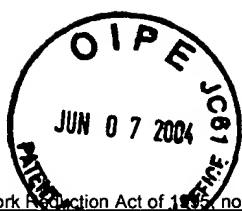
This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FORM PTO-1449 SET I INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-101D7		Application No. 09/888,286	
	Applicant Ronald A. Katz		Group Art Unit 3722	
	Filing Date June 22, 2001			



U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,644,675	02/22/1972	Waltington	-----	-----	-----
	4,071,698	01/31/1978	Barger Jr. et al	-----	-----	-----
	4,302,810	11/24/1981	Bouricius et al	-----	-----	-----
	4,549,047	10/22/1985	Brian et al	-----	-----	-----
	4,585,906	04/29/1986	Matthews et al	-----	-----	-----
	4,763,191	08/09/1988	Gordon et al	-----	-----	-----
	4,785,408	11/15/1988	Britton et al	-----	-----	-----
	4,792,968	12/20/1988	Katz	-----	-----	-----
	4,845,739	07/04/1989	Katz	-----	-----	-----
	4,852,154	07/25/1989	Lewis et al	-----	-----	-----
	4,866,756	09/12/1989	Crane et al	-----	-----	-----
	4,996,705	02/26/1991	Entenmann et al	-----	-----	-----
	5,014,298	05/07/1991	Katz	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	WO 87/00375	01/15/1987	PCT (Int. Application)	-----	-----	-----
	1,162,336	02/14/1984	Canada	-----	-----	-----
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Lexis Search Results (Great American Potato-Chip giveaway/Raisin Bran Game/Giants Baseball Trivia -- Dial Info): "In The Chips" AdWeek, July 22, 1985					
	"San-Fran-Police-League", Business Wire, Aug. 2, 1985					
	"Similar Campaigns", DM News, Dec. 15, 1985					
	"Phone Offers Action At Push Of Button", Advertising Age, Feb. 6, 1986					
	Boies, Stephen J., "A Computer Based Audio Communication System", Computer Sciences Department, Thomas J. Watson Research Center, Yorktown Heights, New York, USA, pp. 701-704 - (Article) (Undated)					
EXAMINER				DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.						



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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

Application Number	09/888,286
Filing Date	June 22, 2001
First Named Inventor	RONALD A. KATZ
Examiner Name	Monica S. Carter
Art Unit	3722
Attorney Docket No.	6646-101D7

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number
50-1636

Deposit Account Name
A2D, LP

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments

 Charge any additional fee(s) or any underpayment of fee(s)

 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20**	=	
			- 3**	=	

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath			
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet			
1053 130	1053 130	Non-English specification			
1812 2,520	1812 2,520	For filing a request for ex parte reexamination			
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action			
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action			
1251 110	2251 55	Extension for reply within first month			
1252 420	2252 210	Extension for reply within second month			
1253 950	2253 475	Extension for reply within third month			
1254 1,480	2254 740	Extension for reply within fourth month			
1255 2,010	2255 1,005	Extension for reply within fifth month			
1401 330	2401 165	Notice of Appeal			
1402 330	2402 165	Filing a brief in support of an appeal			
1403 290	2403 145	Request for oral hearing			
1451 1,510	1451 1,510	Petition to institute a public use proceeding			
1452 110	2452 55	Petition to revive - unavoidable			
1453 1,330	2453 665	Petition to revive - unintentional			
1501 1,330	2501 665	Utility issue fee (or reissue)			
1502 480	2502 240	Design issue fee			
1503 640	2503 320	Plant issue fee			
1460 130	1460 130	Petitions to the Commissioner			
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)			
1806 180	1806 180	Submission of Information Disclosure Stmt			
8021 40	8021 40	Recording each patent assignment per property (times number of properties)			180.00
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))			
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))			
1801 770	2801 385	Request for Continued Examination (RCE)			
1802 900	1802 900	Request for expedited examination of a design application			

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

(Complete if applicable)

Name (Print/Type)	Reeha Kuyper	Registration No. (Attorney/Agent)	33,830	Telephone 310-247-2860
Signature			Date	June 3, 2004

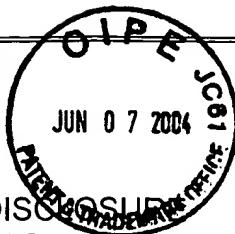
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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FORM PTO-1449

SET I

INFORMATION DISCLOSURE
CITATION IN AN APPLICATION

Docket No. (optional)

6646-101D7

Applicant

Ronald A. Katz

Filing Date

June 22, 2001

Application No.

09/888,286

Group Art Unit

3722

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

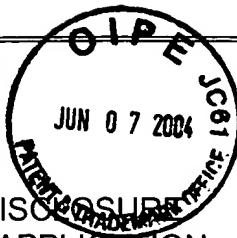
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Winckelmann, W.A., "Automatic Intercept Service", <u>Bell Laboratories Record</u> , May 1968, Vol 46, No. 5, pp. 138-143 - (Article)
	"Proposed Agreement Between National Enterprises Board (N.E.B.) and Delphi", Jan. 30. 1979
	Voysey, Hedley, "Nexos wins rights to comms engine", <u>Computing</u> , Sept. 6, ??, Vol. 7, no. 36 - (Article)
	"Appraisal Of The Fair Market Value Of Delphi Communications", Apr. 30, 1980 - (Study) Delphi Communications - (Charts and Exhibits)
	"Voice-Response System Improves Order Entry, Inventory Control", <u>Communication News</u> , Aug. 1976 - (Article)
	"Periphonics VOICEPACK" - (Brochure) (Undated)
	"The Voice Response Peripheral That Turns Every Touch-Tone Telephone Into A Computer Terminal", Periphonics Corporation - (Brochure) (Undated)
	Rabin, Jeff, "Minorities Seek 30% Share of All Lottery Operations", <u>Sacramento Bee</u> , April 12, 1985 - (Article)
	Advertisements (Dial Giants Baseball Trivia Game): <u>San Francisco Chronicle</u> , July 3, 1984
	Curtis, Cathy, "976 numbers let you dial-a-whatever", <u>San Francisco Business Journal</u> , Nov. 26, 1984 - (Article)
	Ferrell, Jane, "Three little numbers for instant information", <u>San Francisco Chronicle</u> , Aug. 15, 1984 - (Article)
	"Dallas Telephone Call-In Game Uses Computer Voice Interface", Sept. 24, 1984 - (Press Release)
	Rivest, R.L., et al., "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems", <u>Communications of the ACM</u> , Feb. 1978, Vol. 21, No. 2, pp. 120-126 - (Article)
	Finnigan, Paul F, "Audiotex: The telephone as data-access equipment", <u>Data Communications</u> , 1987, pp. 155-161 (Article)
	Ozawa, Y., et al., "Voice Response System and Its Applications", <u>Hitachi Review</u> , Dec. 1979, Vol. 28, No. 6, pp. 301-305 - (Article)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	

FORM PTO-1449

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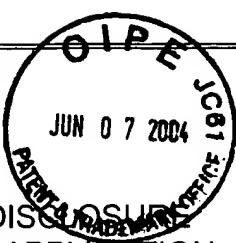
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	"AT&T 2: Reaches agreement with Rockwell (ROK)", Aug. 26, 1986 - (Press Release) "AT&T: Expands Computer speech system product line", April 14, 1986 - (Press Release)
	Adams, Cynthia, "Conversing With Computers", <u>Computerworld on Communications</u> , May 18, 1983, Vol. 17, No. 20A, pp. 36-44 - (Article)
	Hester, S.D., et al., "The AT&T Multi-Mode Voice Systems - Full Spectrum Solutions For Speech Processing Applications", Sept. 1985, pp. 1-10 - (Proceedings Of The 1985 AVIOS Conference)
	Davidson, Leon, "A Pushbutton Telephone For Alphanumeric Input", <u>Datamation</u> , Apr. 1966, pp. 27-30 - (Article)
	Advertisement: Cuervo Gold Beach Chair, VoiceMail Int'l, '83
	"Digital's All-In-1 Voice Messaging", <u>Digital</u> - (Brochure) (Undated)
	"Access Voice and Mail Messages From One Familiar Source", <u>Insight</u> , - (Article) (Undated)
	"Get The Message...!" "New VoiceMail Features", <u>VoiceMail International, Inc.</u> , Oct. 1984 - (Article)
	Brochures (TWA Crew Scheduling/PSA's Reservation System/Universal Studios Program/Dow Phone): "AVIAR The communication system that keeps you flying", VoiceMail Int'l, - (Brochure) (Undated)
	"TWA VOICEMAIL, Flight Attendants Users Guide" Aug. 1986, - (Brochure)
	Holtzman, Henry, "Voice Mail Soars At TWA", <u>Modern Office Technology</u> (Reprint), Mar. 1986, - (Article)
	"Bid Results via VOICEMAIL - Flight Deck Crew Members", May 1, 1985 (Script)
	Borden, W.S., "Flight Attendant Self Input Of Monthly Bids Via Touch Tone Telephone", <u>In-Flight Services Bulletin</u> , Sept. 15, 1985 - (Memo)
	"Look Ma, no operators! Automatic voice system does many airline jobs", <u>Air Transport World</u> , Oct. 1986 - (Article)
	"1,000,000 Shares Common Stock" <u>VoiceMail International, Inc.</u> , Jan 10, 1984 - (Public Offering Summary)

EXAMINER	DATE CONSIDERED
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FORM PTO-1449

SET I

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6646-101D7

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Ronald A. Katz

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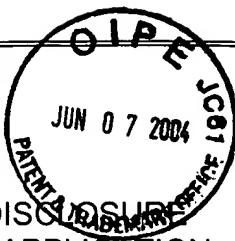
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Levinson, S.E., et al., "A Conversational-Mode Airline Information and Reservation System Using Speech Input and Output", <u>The Bell System Technical Journal</u> , Jan. 1980, Vol. 59, No. 1, pp. 119-137
	Emerson, S.T., "Voice Response Systems - Technology to the Rescue for Business Users", <u>Speech Technology</u> , Jan/Feb '83, pp. 99-103 - (Article)
	Moslow, Jim, "Emergency reporting system for small communities", <u>Telephony</u> , Feb. 11, 1985, pp. 30-32. 34 - (Article)
	Rabiner, L.R., et al., "Digital Techniques for Computer Voice Response: Implementation and Applications", <u>Proceedings Of The IEEE</u> , Apr. 1976, Vol. 64, No. 4, pp. 416-432 - (Article)
	Moosemiller, J.P., "AT&T's CONVERSANT™ I Voice System" <u>Speech Technology</u> , Mar/Apr. 1986, pp. 88-93 - (Article)
	Frank, R.J., et al., "No. 4 ESS: Mass Announcement Capability", <u>The Bell System Technical Journal</u> , July/Aug. 1981, Vol. 60, No. 6, Part 2, pp. 1049-1081 - (Chapter from a Book)
	"Chapter I General Description" <u>D.I.A.L. PRM/Release 3 - Version 2</u> Mar. 1987 (Product Reference Manual)
	"Announcing Release 3.3" <u>D-A-S-H- D.I.A.L. Application and Support Hints</u> , Jan/Feb. Mar. 1987, Vol. 3, No. 1 - (Brochure)
	"D.I.A.L. Software Release 4", <u>OPCOM</u> , Jan. 1988, Version 1 - (Product Reference Manual)
	Brady, R.L., et al., "Telephone Identifier Interface", <u>IBM Technical Disclosure Bulletin</u> , Oct. 1976, Vol. 19, No. 5, pp. 1569-1571 - (Article)
	Corbett, A.J., "Telephone Enquiry System Using Synthetic Speech", <u>University of Essex</u> , Dec. 1974, (Thesis)
	Yoshizawa, K., et al., "Voice Response System for Telephone Betting", <u>Hitachi Review</u> , June 1977, Vol. 26, No. 6 - (Article)
	Sagawa, S., et al., "Automatic Seat Reservation By Touch-Tone Telephone", <u>Second USA Japan Computer Conference</u> , 1975, Vol. 2, pp. 290-294 - (Article)

EXAMINER	DATE CONSIDERED
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FORM PTO-1449

SET I

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Docket No. (optional)

6646-101D7

Applicant

Ronald A. Katz

Filing Date

June 22, 2001

Application No.

09/888,286

Group Art Unit

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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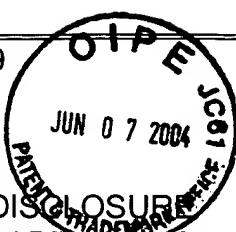
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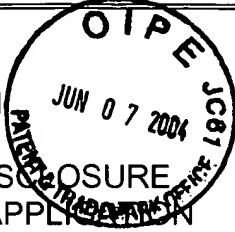
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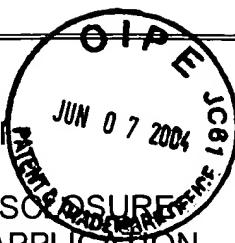
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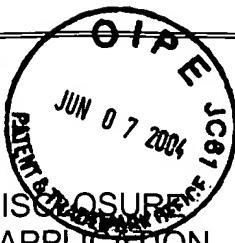
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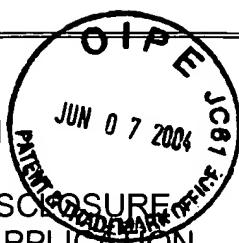
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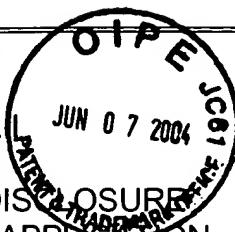
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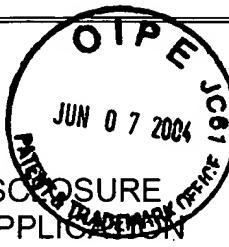
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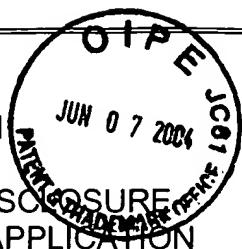
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09/888,286

Group Art Unit

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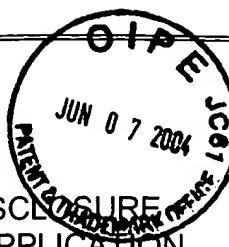
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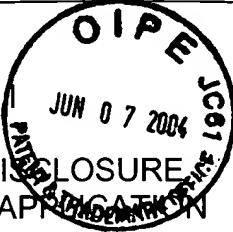
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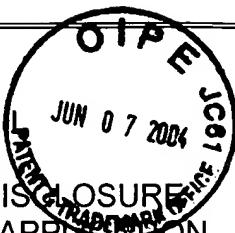
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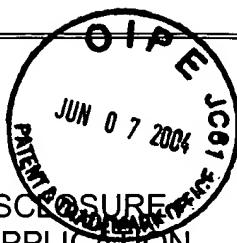
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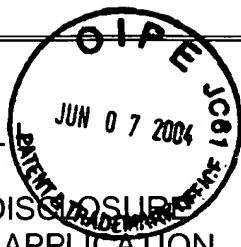
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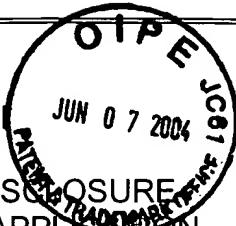
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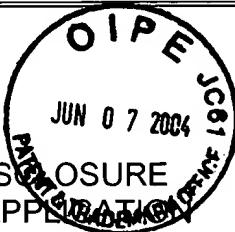
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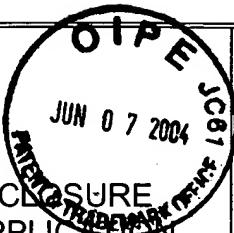
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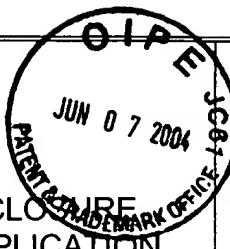
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6646-101D7

Applicant

Ronald A. Katz

Filing Date

June 22, 2001

Application No.

09/888,286

Group Art Unit

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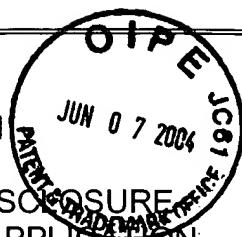
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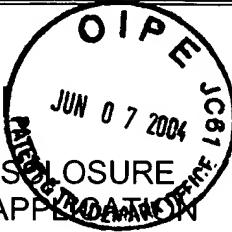
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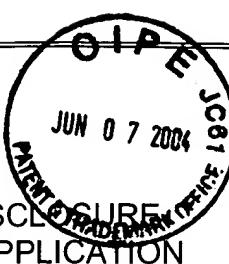
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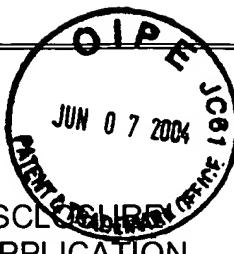
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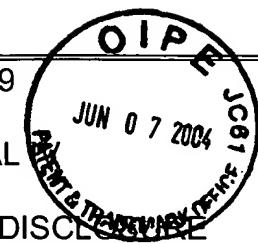
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	"Voice Response: Breaks Through Call Blockage." , <u>Business Week</u> , Aug. 26, 1985 - (Advertisement for Perceptual Technology Corporation)
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	"The Fidelity Automated Service Telephone" , <u>Fidelity Group</u> - (Manual/Brochure)
	"Stockquote Hotline" , <u>Norwest Brokerage Services</u> - (Brochure) "All You Need To Get The Stock Quotes And News You Want." <u>Dowphone</u> , 1984 - (Advertisement)
	"The Most Respected Name In Telemarketing" , <u>West Interactive Corporation</u> - (2 Brochures)

EXAMINER	DATE CONSIDERED
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FORM PTO-1449

SUPPLEMENTAL

INFORMATION DISCLOSED
CITATION IN AN APPLICATION

Docket No. (optional)

6646-101D7

Applicant

Ronald A. Katz

Filing Date

June 22, 2001

Application No.

09/888,286

Group Art Unit

3722

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2,902,541	09/01/59	Singleton	-----	-----	-----
	3,394,246	07/23/68	Goldman	-----	-----	-----
	3,544,769	12/01/70	Hedin	-----	-----	-----
	3,617,638	11/02/71	Jochimsen et al.	-----	-----	-----
	3,696,335	10/03/72	Lemelson	-----	-----	-----
	3,800,283	03/26/74	Gropper	-----	-----	-----
	3,881,160	04/29/75	Ross	-----	-----	-----
	3,889,050	06/10/75	Thompson	-----	-----	-----
	3,912,874	10/14/75	Botterell et al.	-----	-----	-----
	3,918,174	11/11/75	Miller et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	OS 2929416	02/05/81	Germany	-----	-----	-----
	OS 3726366	02/11/88	Germany	-----	-----	-----
	2184327A	06/17/87	United Kingdom	-----	-----	-----

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Kroemer, F., "TELEBOX", Unterrichtsblätter, year 38/1985, No. 4, pp. 131-141 (Article) - no translation
Kroemer, F., "TELEBOX", Unterrichtsblätter, year 41/1988, No. 2, pp. 67-83 (Article) - no translation

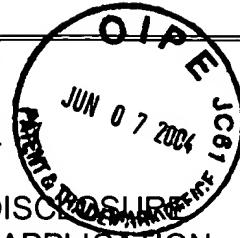
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	4,054,756	10/18/77	Comella et al.	-----	-----	-----
	4,117,278	09/26/78	Ehrlich et al.	-----	-----	-----
	4,162,377	07/24/79	Mearns	-----	-----	-----
	4,191,376	03/04/80	Goldman	-----	-----	-----
	4,241,942	12/30/80	Bachman	-----	-----	-----
	4,242,539	12/30/80	Hashimoto	-----	-----	-----
	4,299,637	11/10/81	Oberdeck et al.	-----	-----	-----
	4,348,554	09/07/82	Asmuth	-----	-----	-----
	4,360,827	11/23/82	Braun	-----	-----	-----
	4,451,087	09/10/85	Comstock	-----	-----	-----
	4,490,583	12/25/84	Bednarz et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	0 229 170 A	07/22/87	EPC	-----	-----	-----
	0 568 114 A	11/03/93	EPC	-----	-----	-----
	0 620 669 A	10/19/94	EPC	-----	-----	-----
	500138/88	01/14/88	Japan	-----	-----	-----
	298158/90	12/20/90	Japan	-----	-----	-----

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

C.R. Newson, "Merlin Voice Mail VM600," British Telecommunications Engineering, vol. 4, April 1985, pp. 32-35

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FORM PTO-1449 SUPPLEMENTAL IV INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-101D7 Applicant Ronald A. Katz Filing Date June 22, 2001	Application No. 09/888,286 Group Art Unit 3722
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,555,594	11/26/85	Friedes et al.	-----	-----	-----
	4,562,342	12/31/85	Solo	-----	-----	-----
	4,567,359	01/28/86	Lockwood	-----	-----	-----
	4,582,956	04/15/86	Doughty	-----	-----	-----
	4,591,190	03/27/86	Clark	-----	-----	-----
	4,614,367	09/30/86	Breen	-----	-----	-----
	4,652,998	03/24/87	Koza et al.	-----	-----	-----
	4,663,777	05/05/87	Szeto	-----	-----	-----
	4,671,512	06/09/87	Bachman et al.	-----	-----	-----
	4,677,553	06/30/87	Roberts et al.	-----	-----	-----
	4,694,490	09/15/87	Harvey et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	41855/91	02/22/91	Japan	-----	-----	-----
	2 230 403 A	10/17/90	United Kingdom	-----	-----	-----
	2,009,937-2	08/21/90	Canada	-----	-----	-----
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	A.S. Yatagai, "Telephonic Voice Synthesis Systems," Telecommunications, Aug. 1985, pp. 56h-l, 68					
	A.J. Waite, "Getting Personal With New Technologies For Telemarketers," DM News, Feb. 15, 1987 at 50					
	"Shopping via a network is no longer just talk," Data Communications, Aug. 1981 at 43					
	"Growth-Oriented Systems," Restaurant Technology, Nation's Restaurant News Newspaper, Jul. 1, 1985 at 51					

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,704,725	11/03/87	Harvey et al.	-----	-----	-----
	4,716,583	12/29/87	Groner et al.	-----	-----	-----
	4,764,666	08/16/88	Bergeron	-----	-----	-----
	4,766,604	08/23/88	Axberg	-----	-----	-----
	4,774,655	09/27/88	Kollin et al.	-----	-----	-----
	4,788,718	11/29/88	McNabb et al.	-----	-----	-----
	4,796,293	01/03/89	Blinken et al.	-----	-----	-----
	4,797,910	01/10/89	Daudelin	-----	-----	-----
	4,842,278	06/27/89	Markowicz	-----	-----	-----
	4,847,890	07/11/89	Solomon et al.	-----	-----	-----
	4,882,473	11/21/89	Bergeron et al.	-----	-----	-----
	4,894,857	01/16/90	Szlam et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	9002131	08/21/90	France	-----	-----	-----
	DE 4005365 A1	08/23/90	Germany	-----	-----	-----
	WO93/05483	03/18/1993	PCT	-----	-----	-----

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

"Let your fingers do the tapping... ...and the computer the talking," Modern Office Tech., May 1984 at 80
"American Software unveils systems for IBM mainframes," Computerworld, Mar. 26, 1984 at 59
"Business Units Get Order Entry," Computerworld, July 12, 1982 at 36

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,899,375	02/06/90	Bauer et al.	-----	-----	-----
	4,942,598	07/17/90	Davis	-----	-----	-----
	4,964,157	10/16/90	Aoshima	-----	-----	-----
	4,965,825	10/23/90	Harvey et al.	-----	-----	-----
	4,969,185	11/06/90	Dorst et al.	-----	-----	-----
	5,017,917	05/21/91	Fisher et al.	-----	-----	-----
	5,018,736	05/28/91	Pearson et al.	-----	-----	-----
	5,023,904	06/11/91	Kaplan et al.	-----	-----	-----
	5,046,183	09/03/91	Dorst et al.	-----	-----	-----
	5,083,272	01/21/92	Walker et al.	-----	-----	-----
	5,097,528	03/17/92	Gursahaney et al.	-----	-----	-----
	5,109,414	04/28/92	Harvey et al.	-----	-----	-----
	5,127,003	06/30/92	Doll, Jr. et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						

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FORM PTO-1449

SUPPLEMENTAL IV

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6646-101D7

Applicant

Ronald A. Katz

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,146,491	09/08/92	Silver et al.	-----	-----	-----
	5,181,238	01/19/93	Medamana et al.	-----	-----	-----
	5,233,654	08/03/93	Harvey et al.	-----	-----	-----
	5,255,183	10/19/93	Katz (Penina)	-----	-----	-----
	5,263,723	11/23/93	Pearson et al.	-----	-----	-----
	5,333,185	07/26/94	Burke et al.	-----	-----	-----
	5,335,277	08/02/94	Harvey et al.	-----	-----	-----
	5,351,276	09/27/94	Doll Jr., et al.	-----	-----	-----
	5,353,335	10/04/94	D'Urso et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS

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6646-101D7SERIAL NO.
09/888,286

APPLICANT:

Ronald A. KATZ

FILING DATE:

June 22, 2001

GROUP:

3722

SUPPLEMENTAL V

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	3,787,632	01/1974	Male et al.	-----	-----	-----
	3,940,569	02/1976	Schonbrun	-----	-----	-----
	3,987,252	10/1976	Vicari	-----	-----	-----
	4,068,099	01/1978	Mikkola	-----	-----	-----
	4,087,638	05/1978	Hayes et al.	-----	-----	-----
	4,090,034	05/1978	Moylan	-----	-----	-----
	4,160,129	07/1979	Peyser et al.	-----	-----	-----
	4,192,972	03/1980	Bertoglio et al.	-----	-----	-----
	4,221,933	09/1980	Cornell et al.	-----	-----	-----
	4,255,619	03/1981	Saito	-----	-----	-----
	4,360,875	11/1982	Behnke	-----	-----	-----
	4,401,856	08/1983	Curtin et al.	-----	-----	-----
	4,428,296	01/1984	Scheuchzer et al.	-----	-----	-----
	4,445,001	04/1984	Bertoglio	-----	-----	-----
	4,517,412	05/1985	Newkirk et al.	-----	-----	-----
	4,518,824	05/1985	Mondardini	-----	-----	-----
	4,549,291	10/1985	Renoulin	-----	-----	-----
	4,585,903	04/1986	Schiller et al.	-----	-----	-----
	4,591,665	05/1986	Foster et al.	-----	-----	-----
	4,600,809	07/1986	Tatsumi et al.	-----	-----	-----
	4,611,096	09/1986	Asmuth et al.	-----	-----	-----
	4,625,081	11/25/86	Lotito et al.	-----	-----	-----

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SUPPLEMENTAL V

APPLICANT:

Ronald A. KATZ

FILING DATE:
June 22, 2001GROUP:
3722

LIST OF PATENTS AND OTHER DOCUMENTS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	4,640,991	02/1987	Matthews et al.	-----	-----	-----
	4,672,660	06/1987	Curtin	-----	-----	-----
	4,685,127	08/1987	Miller et al.	-----	-----	-----
	4,747,124	05/1988	Ladd	-----	-----	-----
	4,759,056	07/1988	Akiyama	-----	-----	-----
	4,761,808	08/1988	Howard	-----	-----	-----
	4,791,666	12/1988	Cobb et al.	-----	-----	-----
	4,797,818	01/1989	Cotter	-----	-----	-----
	4,805,207	02/1989	McNutt et al.	-----	-----	-----
	4,878,240	10/1989	Lin et al.	-----	-----	-----
	4,893,325	01/1990	Pankonen et al.	-----	-----	-----
	4,896,346	1/1990	Belfield et al.	-----	-----	-----
	4,899,373	02/1990	Lee et al.	-----	-----	-----
	4,908,852	03/1990	Hird et al.	-----	-----	-----
	4,922,519	05/1990	Daudelin	-----	-----	-----
	4,933,965	06/1990	Hird	-----	-----	-----
	4,989,234	01/1991	Schakowsky et al.	-----	-----	-----
	5,036,535	07/1991	Gechter et al.	-----	-----	-----
	5,199,062	03/1993	Von Meister et al.	-----	-----	-----
	5,222,120	06/1993	McLeod et al.	-----	-----	-----
	5,299,260	03/1994	Shaio	-----	-----	-----
	5,303,298	04/1994	Morganstein	-----	-----	-----

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SUPPLEMENTAL V

APPLICANT:

Ronald A. KATZ

LIST OF PATENTS AND TRADEMARKS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

FILING DATE:
June 22, 2001GROUP:
3722

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		5,303,299	04/1994	Hunt et al.	-----	-----	-----
		5,354,069	10/1994	Guttman et al.	-----	-----	-----
		5,418,844	05/1995	Morrisey et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES	NO
		0 249 795	12/1987	EPC	-----	-----		no
		2 575 016	07/1986	France	-----	-----		no
		WO 82/02132	06/1982	PCT	-----	-----	yes	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	Dial Info Articles (various articles with various dates) (R0016101-R0016188)
	Svigals, J., "Security Method For Remote Telephone Banking," IBM Technical Disclosure Bulletin, Vol. 23, No. 12, May 1981, pp. 5306-5307 (pb424)
	AT&T Conversant Voice Response Systems Historical Overview, January 1988 (FD 023585-FD023596)
	"All About Automated Attendant Systems," Datapro Research Corporation, March 1987 (SM 1000682-SM 1000691)
	"New Product - Dytel's Automated Switchboard Attendant," reprinted from Business Communications Review, March-April 1984, pp. 39-41 (SM10006976-SM1000699)
	Arbogast, James G. et al., "Home Diabetes Monitoring Through Touch-Tone Computer Data Entry and Voice Synthesizer Response," Annual Symposium on Computer Applications in Medical Care 8th Care Proceedings - Eighth Annual Symposium on Computer Applications in Medical Care, 1984 (MMI 020731)
	Perdue, Robert J., et al., "AT&T Voice Processing System Architectures," AT&T Technical Journal, September/October 1990, pp. 52-60 (MMI 024142-MMI 024151)
	Sable, E.G., et al., "AT&T Network Services Architecture Capabilities, Administration and Performance," AT&T Technical Papers, International Switching Symposium-ISS '87, AT&T Network Systems, March 15, 1987
	"The Stored Program Controlled Network" The Bell System Technical Journal, September 1982
	The World's Telephones, a Statistical Compilation as of January 1981, AT&T Long Lines, 1981 (Book)
	Engineering and Operations in the Bell System, AT&T Bell Laboratories, 1983 (Book)

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FORM PTO-1449 SUPPLEMENTAL V	JUN 07 2004 JC6-1 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 6646-101D7	SERIAL NO. 09/888,286
		APPLICANT: Ronald A. KATZ	
		FILING DATE: June 22, 2001	GROUP: 3722

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U.S. PATENT DOCUMENTS

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	3,553,378	01/1971	Solomon, et al.	-----	-----	-----
	3,829,628	08/1974	Tripsas	-----	-----	-----
	4,565,903	01/1986	Riley	-----	-----	-----
	4,581,486	04/1986	Matthews, et al.	-----	-----	-----
	4,750,199	06/1988	Norwich	-----	-----	-----
	4,761,807	08/1988	Matthews, et al.	-----	-----	-----
	4,782,519	11/1988	Patel, et al.	-----	-----	-----
	4,785,473	11/1988	Pfeiffer, et al.	-----	-----	-----
	4,799,255	01/1989	Billinger, et al.	-----	-----	-----
	4,856,066	08/1989	Lemelson	-----	-----	-----
	4,932,021	06/1990	Moody	-----	-----	-----
	4,951,307	08/1990	Willard	-----	-----	-----
	4,959,855	09/1990	Daudelin	-----	-----	-----
	5,033,076	07/1991	Jones, et al.	-----	-----	-----
	5,033,088	07/1991	Shipman	-----	-----	-----
	5,054,059	10/1991	Stern, et al.	-----	-----	-----
	5,125,024	06/1992	Gokcen, et al.	-----	-----	-----
	5,148,474	06/1992	Haralambopoulos, et al.	-----	-----	-----
	5,164,981	11/1992	Mitchell, et al.	-----	-----	-----
	5,168,548	12/1992	Kaufman, et al.	-----	-----	-----
	5,179,585	01/1993	MacMillan, Jr., et al.	-----	-----	-----
	5,181,236	01/1993	LaVallee, et al.	-----	-----	-----

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	5,186,471	02/1993	Vancraeynest	-----	-----	-----
	5,214,689	05/1993	O'Sullivan	-----	-----	-----
	5,236,199	08/1993	Thompson, Jr.	-----	-----	-----
	5,289,531	02/1994	Levine	-----	-----	-----
	5,327,554	07/1994	Palazzi, III, et al.	-----	-----	-----
	5,361,295	11/1994	Solomon, et al.	-----	-----	-----
	5,369,685	11/1994	Kero	-----	-----	-----
	5,416,830	05/1995	MacMillan, Jr., et al.	-----	-----	-----
	5,511,112	04/1996	Szlam	-----	-----	-----
	5,561,710	10/1996	Helms	-----	-----	-----
	5,623,536	04/1997	Solomon, et al.	-----	-----	-----
	5,651,048	07/1997	Leeuw	-----	-----	-----
	5,768,348	06/1998	Solomon, et al.	-----	-----	-----

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FOREIGN PATENT DOCUMENTS

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	1162484	04/1967	Great Britain	-----	-----	Yes
	WO 89/11768	11/1989	PCT	-----	-----	Yes
	WO 90/10989	09/1990	PCT	-----	-----	Yes
	WO 90/11661	10/1990	PCT	-----	-----	Yes
	WO 91/15818	10/1991	PCT	-----	-----	Yes
	WO 92/06548	04/1992	PCT	-----	-----	Yes
	WO 92/09164	05/1992	PCT	-----	-----	Yes
	WO 92/15166	09/1992	PCT	-----	-----	Yes
	2253542	09/1992	Great Britain	-----	-----	Yes
	EP 0 382 212 B1	07/1998	EPC	-----	-----	Yes
	EP 0 382 670 B1	04/1997	EPC	-----	-----	Yes
	EP 0 438 860 B1	09/1996	EPC	-----	-----	Yes
	0 451 693 A2	10/1991	EPC	-----	-----	Yes
	0 451 695 A2	10/1991	EPC	-----	-----	Yes
	0 453 831 A2	10/1991	EPC	-----	-----	Yes
	0 454 363 A2	10/1991	EPC	-----	-----	Yes

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
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ATTY. DOCKET NO. 6646-101D7	SERIAL NO. 09/888,286
APPLICANT: Ronald A. KATZ	
FILING DATE: June 22, 2001	GROUP: 3722

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	3,727,186	04/1973	Stephenson	-----	-----	-----
	4,599,493	07/1986	Ellis Cave	-----	-----	-----
	4,689,742	08/25/87	Troy et al.	-----	-----	-----
	4,710,955	12/01/87	Kauffman	-----	-----	-----
	4,769,834	09/1988	Billinger et al.	-----	-----	-----
	4,829,563	06/1989	Crockett et al.	-----	-----	-----
	4,832,341	05/23/89	Muller	-----	-----	-----
	4,835,630	05/1989	Freer	-----	-----	-----
	4,850,007	07/18/89	Marino et al.	-----	-----	-----
	4,881,261	11/1989	Oliphant et al.	-----	-----	-----
	4,916,726	04/10/90	Morley, Jr. et al.	-----	-----	-----
	4,926,462	05/15/90	Ladd et al.	-----	-----	-----
	5,000,486	03/19/91	Rua, Jr. et al.	-----	-----	-----
	5,003,595	03/1991	Collins et al.	-----	-----	-----
	5,128,984	07/07/92	Katz	-----	-----	-----
	5,351,285	09/1994	Katz	-----	-----	-----
	5,403,999	04/04/95	Entenmann et al.	-----	-----	-----
	5,415,416	05/16/95	Scagnelli et al.	-----	-----	-----
	5,475,205	12/12/95	Behm et al.	-----	-----	-----
	5,490,207	02/06/96	Schorr	-----	-----	-----
	5,537,143	07/16/96	Steingold et al.	-----	-----	-----
	5,599,046	02/14/97	Behm et al.	-----	-----	-----
	5,709,603	01/20/98	Kaye	-----	-----	-----

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		5,787,156	07/28/98	Katz	-----	-----	-----
		5,790,636	08/1998	Marshall	-----	-----	-----
		5,815,551	09/1998	Katz	-----	-----	-----
		5,835,576	11/10/98	Katz	-----	-----	-----

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
		32 25 562	01/19/84	Germany	-----	-----	no
		2 252 270 A	08/1992	Great Britain	-----	-----	yes
		0 217 308 A2	04/08/87	Europe	-----	-----	yes
		0 917 335 A2	05/19/99	Europe	-----	-----	yes
		246067/1988	10/13/88	Japan	-----	-----	no
		009353/1988	01/16/88	Japan	-----	-----	no
		117436/1989	05/10/89	Japan	-----	-----	no
		54-62708	05/21/79	Japan	-----	-----	no
		52-17739	02/09/77	Japan	-----	-----	no
		59-83270	05/14/84	Japan	-----	-----	no
		62-92654	04/28/87	Japan	-----	-----	no
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		Confalone, D. E., et al, "Calling Card Service - TSPS Hardware, Software, and Signaling Implementation", The Bell System Technical Journal, September, 1982
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		Lexis Search , 11/1/84, re: System 85 Computer Process
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		Inquiry Letter To The F.C.C., From Attorneys For the Prior Title Holder Seeking Rulings That A Particular Game wold Not Be Considered A Lottery Under F.C.C. Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
		Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
		A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified.
		A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown.
		An early brochure based on a March, 1989, survey by Charles Schwab & Co., Inc.
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		Friedes, A., et al., "ISDN opportunities for large business - 800 service customers," IEEE International Conference on Communications '86, June 22-25, 1986, Vol. 1, pp. 28-32
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JC81ATTY. DOCKET NO.
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Ronald A. KATZFILING DATE:
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Ronald A. KATZ

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June 22, 2001

GROUP:

3722

SUPPLEMENTAL VII

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
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FORM PTO-1449 SUPPLEMENTAL VII LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 6646-101D7 APPLICANT: Ronald A. KATZ FILING DATE: June 22, 2001 GROUP: 3722	SERIAL NO. 09/888,286
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FORM PTO-1449

SUPPLEMENTAL VII

LIST OF PATENTS AND OTHER TRADEMARKS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
6646-101D7SERIAL NO.
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Ronald A. KATZFILING DATE:
June 22, 2001GROUP:
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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APPLICANT: Ronald A. KATZ

FILING DATE: June 22, 2001 GROUP: 3722

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FORM PTO-1449

SUPPLEMENTAL VII

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

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PATENT & TRADEMARK OFFICE
U.S. DEPARTMENT OF COMMERCE

ATTY. DOCKET NO. 6646-101D7	SERIAL NO. 09/888,286
APPLICANT: Ronald A. KATZ	
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SUPPLEMENTAL VII

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APPLICANT:

Ronald A. KATZ

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June 22, 2001GROUP:
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

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FORM PTO-1449

SUPPLEMENTAL IX

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.	SERIAL NO.
6646-101D7	09/888,286

APPLICANT:
Ronald A. KATZ

FILING DATE: June 22, 2001	GROUP: 3722
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

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SUPPLEMENTAL X

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09/888,286APPLICANT:
Ronald A. KATZFILING DATE:
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

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FORM PTO-1449 SUPPLEMENT XI LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	O I P E JUN 07 2004 PATENTS TRADEMARKS DISCLOSURE STATEMENT	ATTY. DOCKET NO. 6646-101D7	SERIAL NO. 09/888,286
		APPLICANT: Ronald A. KATZ	
		FILING DATE: June 22, 2001	GROUP: 3722

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		4,734,858	01/26/82	Schlafly	_____	_____	_____
		4,313,035	03/29/88	Jordan et al.	_____	_____	_____

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FORM PTO-1449

SUPPLEMENTAL XII

LIST OF PATENTS AND OTHER ITEMS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

JUN 07 2004

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ATTY. DOCKET

NO.

6646-101D7

SERIAL NO.

09/888,286

APPLICANT:

Ronald A. KATZ

FILING DATE:

June 22, 2001

GROUP:

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	3,022,381	02/20/62	Pferd	_____	_____	_____
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	3,769,463	10/30/73	Graham et al.	_____	_____	_____
	3,870,866	03/11/75	Halpern	_____	_____	_____
	3,929,278	12/30/75	Balavoine et al.	_____	_____	_____
	3,938,091	02/10/76	Atalla et al.	_____	_____	_____
	3,985,998	10/12/76	Crafton	_____	_____	_____
	4,028,494	06/07/77	Zarouni	_____	_____	_____
	4,097,923	06/27/78	Eckert, Jr.	_____	_____	_____
	4,122,308	10/24/78	Weinberger et al.	_____	_____	_____
	4,139,739	02/13/79	von Meister	_____	_____	_____
	4,204,113	05/20/80	Giraud et al.	_____	_____	_____
	Re. 30,821	12/08/81	Goldman	_____	_____	_____
	4,367,402	01/04/83	Giraud et al.	_____	_____	_____
	4,410,765	10/18/83	Hestad et al.	_____	_____	_____
	4,449,040	05/15/84	Matsuoka et al.	_____	_____	_____
	4,467,424	08/21/84	Hedges et al.	_____	_____	_____

EXAMINER:

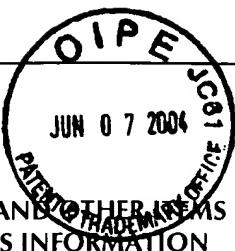
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SUPPLEMENTAL XII

LIST OF PATENTS AND OTHER ITEMS
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DISCLOSURE STATEMENT

(Use several sheets if necessary)

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NO.
6646-101D7SERIAL NO.
09/888,286APPLICANT:
Ronald A. KATZFILING DATE:
June 22, 2001GROUP:
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		4,468,529	08/28/84	Samuel et al.	_____	_____	_____
		4,501,958	02/26/85	Glize et al.	_____	_____	_____
		4,513,175	04/23/85	Smith	_____	_____	_____
		4,518,824	05/21/85	Mondardini	_____	_____	_____
		4,580,011	04/01/86	Glaser	_____	_____	_____
		4,595,983	06/17/86	Gehalo et al.	_____	_____	_____
		4,611,096	09/09/86	Asmuth et al.	_____	_____	_____
		4,616,852	10/14/86	Cash	_____	_____	_____
		4,673,802	06/16/87	Ohmae et al.	_____	_____	_____
		4,680,785	06/14/87	Akiyama et al.	_____	_____	_____
		4,768,222	08/30/88	Kalfon	_____	_____	_____
		4,768,223	08/30/88	Kinoshita et al.	_____	_____	_____
		4,782,519	11/01/88	Patel et al.	_____	_____	_____
		4,791,640	12/13/88	Sand	_____	_____	_____
		4,792,973	12/20/88	Gilhouse et al.	_____	_____	_____
		4,870679	09/26/89	Hanna et al.	_____	_____	_____
		4,879,744	11/07/89	Tasaki et al.	_____	_____	_____
		4,897,870	01/30/90	Golden	_____	_____	_____
		4,926,996	05/22/90	Eglise et al.	_____	_____	_____
		5,003,585	03/26/91	Richer	_____	_____	_____
		5,068,891	11/26/91	Marshall	_____	_____	_____
		5,153,906	10/06/92	Akiyama	_____	_____	_____
		5,251,252	10/05/93	Katz	_____	_____	_____

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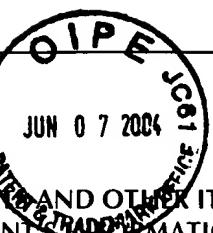
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SUPPLEMENTAL XII

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Ronald A. KATZFILING DATE:
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3722

		5,898,762	04/27/99	Katz	—	—	—
		5,917,893	06/29/99	Katz	—	—	—

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES	NO
	48-90110	11/24/73	Japan				NO
	52-016941	02/08/77	Japan			YES	
	52-021738	02/18/77	Japan			YES	
	53-039808	04/12/78	Japan			YES	
	53-115109	10/07/78	Japan			YES	
	53-039808	10/24/78	Japan				NO
	53-132919	11/20/78	Japan				NO
	54-089509	07/16/79	Japan				NO
	55-107375	08/18/80	Japan			YES	
	55-166370	12/25/80	Japan				NO
	56-004969	01/19/81	Japan			YES	
	56-020371	02/25/81	Japan			YES	
	56-098966	08/08/81	Japan			YES	
	57-073471	05/08/82	Japan			YES	
	57-125567	08/04/82	Japan			YES	
	57-125569	08/04/82	Japan			YES	
	57-125570	08/04/82	Japan			YES	
	57-024157	05/22/82	Japan				NO

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LIST OF PATENTS AND OTHER ITEMS
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(Use several sheets if necessary)

JUN 07 2001

PATENT AND TRADEMARK OFFICE

U.S. DEPARTMENT OF COMMERCE

1100 L ST. NW

WASHINGTON, D.C. 20590-0000

ATTY. DOCKET

NO.

6646-101D7

SERIAL NO.

09/888,286

APPLICANT:

Ronald A. KATZ

FILING DATE:

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		57-127903	08/09/82	Japan			YES	
		58-002136	01/07/83	Japan			NO	
		58-003367	01/21/83	Japan			YES	
		58-165473	09/30/83	Japan			YES	
		58-221559	12/23/83	Japan			YES	
		59-016068	01/27/84	Japan			YES	
		59-108446	06/22/84	Japan			YES	
		59-190771	10/29/84	Japan			YES	
		59-208973	11/27/84	Japan			YES	
		60-010868	01/21/85	Japan			YES	
		60-035868	02/23/85	Japan			YES	
		60-014563	04/15/85	Japan			NO	
		60-220655	11/05/85	Japan			YES	
		61-006163	02/24/86	Japan			NO	
		61-210754	09/18/86	Japan			YES	
		CA 1143494	01/25/80	Canada			YES	
		DE 2351949A1	04/24/75	Germany			YES	
		DE 2503423A1	07/31/75	Germany			NO	
		DE 2903450A1	08/07/80	Germany			YES	
		DE 2903479A1	08/07/80	Germany			YES	
		DE 3406615A1	08/30/84	Germany			YES	
		EP0009684A1	08/12/79	EPC			YES	

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SUPPLEMENTAL XII

JUN 07 2004

**LIST OF PATENTS AND OTHER ITEMS
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		EP0015120A1	02/14/80	EPC			YES	
		EP0041261A1	06/01/81	EPC			YES	
		EP0048868A1	08/10/81	EPC			NO	
		EP0060643A2	03/01/82	EPC			YES	
		EP0066823A1	05/29/82	EPC			YES	
		EP0088639A2	03/09/83	EPC			YES	
		FR 2349899A1	04/30/76	France			NO	
		FR 2522850A2	03/03/82	France			NO	
		FR 2542115A1	03/03/83	France			NO	
		GB 1124945	09/17/68	United Kingdom			YES	
		GB 1352600	05/08/74	United Kingdom			YES	
		GB 1400654	07/23/75	United Kingdom			YES	
		GB 1442883	07/14/76	United Kingdom			YES	
		GB 1505718	03/30/78	United Kingdom			YES	
		GB 1520529	08/09/78	United Kingdom			YES	
		GB 1544542	04/19/79	United Kingdom			YES	
		GB 2046556B	11/12/80	United Kingdom			YES	
		GB 2057740	04/01/81	United Kingdom			YES	
		GB 2065353A	06/24/81	United Kingdom			YES	
		GB 2118341A	10/28/83	United Kingdom			YES	
		GB 2120507	11/30/83	United Kingdom			YES	
		GB 2141309A	12/12/84	United Kingdom			YES	
		IL 74048	01/13/85	Israel			YES	

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	IL 76993	12/08/95	Israel			YES	
	WO 08101664A1	06/25/81	PCT			YES	
	WO 08401073A1	03/15/84	PCT			YES	
	WO 08702208A1	04/09/87	PCT			YES	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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Ronald A. KATZFILING DATE:
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3722

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SUPPLEMENTAL XII

JUN 07 2006

LIST OF PATENTS AND OTHER ITEMS
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APPLICANT:

Ronald A. KATZ

FILING DATE:

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3722

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LIST OF PATENTS AND OTHER ITEMS
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O P E R A T I O N S
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JC81ATTY. DOCKET
NO.
6646-101D7SERIAL NO.
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Ronald A. KATZFILING DATE:
June 22, 2001GROUP:
3722

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FORM PTO-1449

SUPPLEMENTAL X

**LIST OF PARENTS AND OTHER ITEMS
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DISCLOSURE STATEMENT**

(Use several sheets if necessary)

O P E
JUN 07 2004
SC81

ATTY. DOCKET
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6646-101D7SERIAL NO.
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3722

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SUPPLEMENTAL XIII

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JC81

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3722

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
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FORM PTO-1449 SUPPLEMENTAL XIV	O I P E JUN 07 2004 JC81 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 6646-101D7	SERIAL NO. 09/888,286
		APPLICANT: Ronald A. KATZ	
		FILING DATE: June 22, 2001	GROUP: 3722

U.S. PATENT DOCUMENTS

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	3,555,198	01/12/71	Stepan	_____	_____	_____
	4,985,913	01/15/91	Shalom et al.	_____	_____	_____
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09/888,286APPLICANT:
Ronald A. KATZFILING DATE:
June 22, 2001GROUP:
3722

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		3,622,995	11/23/1971	Dilks	-----	-----	3/21/1969
		3,725,596	4/3/1973	Maxon et al.	-----	-----	11/12/1971
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		4,438,824	3/27/1984	Mueller-Schloer	-----	-----	4/22/1981
		4,484,031	11/20/1984	Gray et al.	-----	-----	6/21/1982
		4,656,624	4/7/1987	Collins et al.	-----	-----	5/3/1985
		4,755,872	7/5/1988	Bestler et al.	-----	-----	7/29/1985
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		3,752,904	8/14/1973	Waterbury	-----	-----	8/9/1971
		4,531,023	7/23/1985	Levine	-----	-----	8/13/1982
		4,612,416	9/16/1986	Emerson et al.	-----	-----	1/22/1985
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		5,402,472	3/28/1995	MeLampy et al.	-----	-----	4/23/1992

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		54-061807	5/18/1979	Japan			X (abstract only)	
		55-010246	1/24/1980	Japan			X (abstract only)	

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		56-044958	4/24/1981	Japan			X (abstract only)	
		57-045767	3/15/1982	Japan			X (abstract only)	
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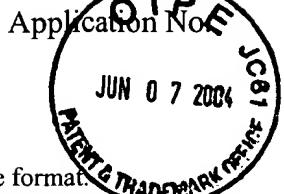
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Application No.

09/888,286

Attorney Docket No.: 6646-101D7

INFORMATION FOR COMPACT DISC 2 OF 3

Machine format:

IBM-PC

Operating system compatibility:

MS-Windows

List of Files contained on this disc:

I. Supplemental VA

a. Foreign Patent Documents

Name	File Type	Size	Date of Creation
EP00382212B1.pdf	Adobe Acrobat Document	872 KB	11/15/2002
EP00382670B1.pdf	Adobe Acrobat Document	822 KB	11/15/2002
EP00438860B1.pdf	Adobe Acrobat Document	840 KB	11/15/2002
EP00451693A2.pdf	Adobe Acrobat Document	509 KB	11/15/2002
EP00451695A2.pdf	Adobe Acrobat Document	692 KB	11/15/2002
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EP00454363A2.pdf	Adobe Acrobat Document	548 KB	11/15/2002
GB02253542A.pdf	Adobe Acrobat Document	1348 KB	11/15/2002
GB1162484.pdf	Adobe Acrobat Document	537 KB	11/21/2002
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WO09215166A1.pdf	Adobe Acrobat Document	3434 KB	11/15/2002

b. US Patent Documents

Name	File Type	Size	Date of Creation
3553378 Soloman.pdf	Adobe Acrobat Document	1110 KB	11/15/2002
3829628 Tripsas.pdf	Adobe Acrobat Document	597 KB	11/08/2002
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4959855 Daudelin.pdf	Adobe Acrobat Document	1032 KB	11/08/2002
5033076 Jones.pdf	Adobe Acrobat Document	703 KB	11/08/2002
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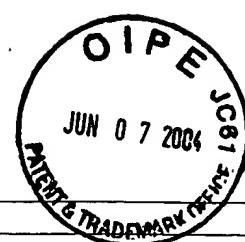
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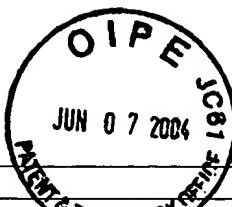
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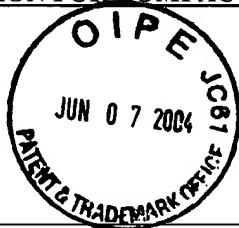


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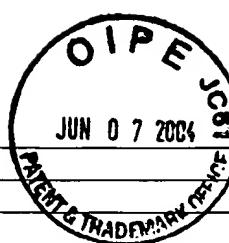
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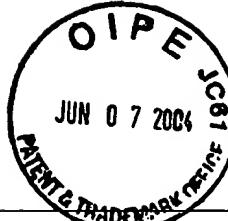
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4992940 Dworkin.pdf	Adobe Acrobat Document	867 KB	11/04/2002

III. Supplemental II

c. Foreign Patent Documents

Name	File Type	Size	Date of Creation
1022674 Canada.pdf	Adobe Acrobat Document	5529 KB	11/19/2002
1025118 Canada.pdf	Adobe Acrobat Document	5082 KB	11/19/2002
1056500 Canada.pdf	Adobe Acrobat Document	4386 KB	11/19/2002
1059621 Canada.pdf	Adobe Acrobat Document	3600 KB	11/19/2002
1225759 Canada.pdf	Adobe Acrobat Document	2004 KB	11/19/2002
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SUP II, PAGE 1 - Borison, Transaction - Telephone Gets the Fact.pdf	Adobe Acrobat Document	KB	11/21/2002
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3794774 Kemmerly.pdf	Adobe Acrobat Document	553 KB	11/05/2002
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IV. Supplemental III

a. Foreign Patent Documents

Name	File Type	Size	Date of Creation
EP00120322A1.pdf	Adobe Acrobat Document	504 KB	11/14/2002

b. US Patent Documents

Name	File Type	Size	Date of Creation
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3998465 Mascola.pdf	Adobe Acrobat Document	468 KB	11/04/2002
4121052 Richard.pdf	Adobe Acrobat Document	782 KB	11/04/2002
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